

## Brighter Future Advisor Plan

## Exchange / Future Contribution (Allocation) Form for Clients of Broker Dealer Representatives



- Complete this form along with your financial advisor to exchange existing assets to a new investment option (**Section 2**) or to change your allocation instructions for future contributions (**Section 3**). Clients of Broker Dealer Representatives should use this form. If you are a client of a Registered Investment Advisor ("RIA"), please use the Exchange Form for Clients of Registered Investment Advisors.
- You can also exchange existing assets or change your future contributions online at **[www.BrighterFutureAdvisor529.com](http://www.BrighterFutureAdvisor529.com)**.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at **[www.BrighterFutureAdvisor529.com](http://www.BrighterFutureAdvisor529.com)**, or you can call us to order any form – or request assistance in completing this form at **1.888.529.9552** any business day from 8:30 a.m. to 6:30 p.m. ET.

Mail this form and any other required documents to:

**Brighter Future Advisor Plan**  
**P.O. Box 219700**  
**Kansas City, MO 64121-9700**

For overnight delivery or registered mail, send to:

**Brighter Future Advisor Plan**  
**1001 E 101st Terrace, Suite 200**  
**Kansas City, MO 64131**

## 1. Account Information

$$\square\square\square\square\square\square\square\square - \square\square$$

Account Number

[illegible]

Name of Account Owner (first, middle initial, last)

$$\boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}} - \boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}} - \boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}}$$

Daytime Telephone Number

$$\boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}} - \boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}} - \boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}}\boxed{\phantom{0}}$$

Evening Telephone Number

[illegible]

Name of Designated Beneficiary (first, middle initial, last)



## 2. Exchange Instructions For Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and where you want the assets invested. *(You must allocate at least 1% of your exchange amount to each investment option that you choose. Use whole percentages only.)*
- See the Brighter Future Advisor Plan Program Description (available at [www.BrighterFutureAdvisor529.com](http://www.BrighterFutureAdvisor529.com)) for complete information on the investment options you are considering.
- Remember:** Federal law allows account owners to make two investment exchanges each calendar year.

**Note:** This change applies only to the assets currently held in your account; it will not affect the allocation of your future investments.

| Exchange FROM            |           | Investment Option                                          | Exchange TO                                                      |
|--------------------------|-----------|------------------------------------------------------------|------------------------------------------------------------------|
| <i>All</i>               |           | <b>Year-of-Enrollment Portfolios</b>                       |                                                                  |
| <input type="checkbox"/> | <b>OR</b> | iShares College Portfolio                                  | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 2027 College Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 2030 College Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 2033 College Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 2036 College Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 2039 College Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 2042 College Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
|                          |           | <b>Asset Allocation Portfolios</b>                         |                                                                  |
| <input type="checkbox"/> | <b>OR</b> | iShares Aggressive Portfolio                               | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Moderate Portfolio                                 | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Conservative Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Fixed Income Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
|                          |           | <b>Custom iShares Portfolios</b>                           |                                                                  |
| <input type="checkbox"/> | <b>OR</b> | iShares Russell 1000 Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Russell 2000 Portfolio                             | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Core S&P Total U.S. Stock Market Portfolio         | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Core High Dividend Portfolio                       | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Core U.S. REIT Portfolio                           | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Core MSCI EAFE Portfolio                           | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares MSCI Emerging Markets ex-China Portfolio           | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Edge MSCI Min Vol Emerging Markets Portfolio       | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Core U.S. Aggregate Bond Portfolio                 | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares TIPS Bond Portfolio                                | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 20+ Year Treasury Bond Portfolio                   | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares Short Treasury Bond Portfolio                      | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares 1-5 Year Investment Grade Corp Bond Portfolio      | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares iBoxx \$ Investment Grade Corporate Bond Portfolio | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <input type="checkbox"/> | <b>OR</b> | iShares iBoxx \$ High Yield Corporate Bond Portfolio       | <input type="text"/> <input type="text"/> <input type="text"/> % |
|                          |           | <b>Savings Portfolio</b>                                   |                                                                  |
| <input type="checkbox"/> | <b>OR</b> | Savings Portfolio                                          | <input type="text"/> <input type="text"/> <input type="text"/> % |
| <b>TOTAL</b>             |           |                                                            | <b>1 0 0 %</b>                                                   |

### 3. Allocation Instructions for Future Contributions

- Your future contributions, which can be updated at any time, will be invested in the investment options you select until you change them.
- Before choosing your investment option, see the Brighter Future Advisor Plan Program Description (available at [www.BrighterFutureAdvisor529.com](http://www.BrighterFutureAdvisor529.com)) for complete information about the investment options offered.
- You must allocate at least **1%** of your contributions to each investment option that you choose. Use whole percentages only.
- Choose from Share Class A or Share Class L options

**Note:** This change applies only to the allocation of your future investments; it will not affect the assets currently held in your account.

#### Year-of-Enrollment Portfolios:

iShares College Portfolio

iShares 2027 College Portfolio

iShares 2030 College Portfolio

iShares 2033 College Portfolio

iShares 2036 College Portfolio

iShares 2039 College Portfolio

iShares 2042 College Portfolio

#### Percentage

|                      |                      |                      |   |
|----------------------|----------------------|----------------------|---|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |

#### Select Share Class (Required)

**Class A** ☐

**Class L** ☐

#### Asset Allocation Portfolios:

iShares Aggressive Portfolio

iShares Moderate Portfolio

iShares Conservative Portfolio

iShares Fixed Income Portfolio

|                      |                      |                      |   |
|----------------------|----------------------|----------------------|---|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |

#### Custom iShares Portfolios:

iShares Russell 1000 Portfolio

iShares Russell 2000 Portfolio

iShares Core S&P Total U.S. Stock Market Portfolio

iShares Core High Dividend Portfolio

iShares Core U.S. REIT Portfolio

iShares Core MSCI EAFE Portfolio

iShares MSCI Emerging Markets ex-China Portfolio

iShares Edge MSCI Min Vol Emerging Markets Portfolio

iShares Core U.S. Aggregate Bond

iShares TIPS Bond Portfolio

iShares 20+ Year Treasury Bond Portfolio

iShares Short Treasury Bond Portfolio

iShares 1-5 Year Investment Grade Corp Bond Portfolio

iShares iBoxx \$ Investment Grade Corporate Bond Portfolio

iShares iBoxx \$ High Yield Corporate Bond Portfolio

|                      |                      |                      |   |
|----------------------|----------------------|----------------------|---|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |

#### Savings Portfolio

Savings Portfolio

|                      |                      |                      |   |
|----------------------|----------------------|----------------------|---|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | % |
|----------------------|----------------------|----------------------|---|

**TOTAL**

|          |          |          |   |
|----------|----------|----------|---|
| <b>1</b> | <b>0</b> | <b>0</b> | % |
|----------|----------|----------|---|

**4. Signature — YOU MUST SIGN BELOW**

I authorize the exchange of assets in my account to the investment option(s) I selected in **Section 2** and/or the allocation of my future contributions to the investment option(s) I selected in **Section 3**. I certify that I have read and understand, consent and agree to all the terms and conditions of the Brighter Future Advisor Plan Program Description and Participation Agreement.



SIGNATURE

Signature of Account Owner

□□ — □□ — □□□□

Date (mm/dd/yyyy)